

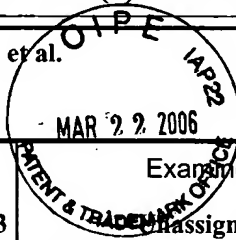
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<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> (Under 37 CFR 1.97(b) or 1.97(c))				Docket No. <b>15948Z</b>	
In Re Application Of: <b>Mehmet Inan, et al.</b>					
Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/743,163	December 22, 2003	Unassigned	23389	1652	7785
Title: <b>ALCOHOL OXIDASE 1 REGULATORY NUCLEOTIDE SEQUENCES FOR HETEROLOGOUS GENE EXPRESSION IN YEAST</b>					
<div style="text-align: center;"> <p>Address to:</p> <p><b>Commissioner for Patents</b>  <b>P.O. Box 1450</b>  <b>Alexandria, VA 22313-1450</b></p> </div> <div style="text-align: center; margin-top: 10px;"> <p><b>37 CFR 1.97(b)</b></p> </div> <p>1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.</p> <div style="text-align: center; margin-top: 20px;"> <p><b>37 CFR 1.97(c)</b></p> </div> <p>2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:</p> <div style="margin-left: 40px; margin-top: 10px;"> <p><input type="checkbox"/> the statement specified in 37 CFR 1.97(e);</p> <div style="text-align: center; margin-top: 10px;"> <p><b>OR</b></p> </div> <p><input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).</p> </div>					

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
15948Z

In Re Application of: Mehmet Inan, et al.



Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/743,163	December 22, 2003	Unassigned	23389	1652	7785

Title: **ALCOHOL OXIDASE 1 REGULATORY NUCLEOTIDE SEQUENCES FOR HETEROLOGOUS GENE EXPRESSION IN YEAST**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 19-3886.RCT as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
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- ☐ Payment by credit card. Form PTO-2038 is attached.

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I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on

March 20, 2006  
(Date)

\_\_\_\_\_  
Signature of Person Mailing Correspondence

Edward W. Grolz

\_\_\_\_\_  
Typed or Printed Name of Person Mailing Certificate

Dated: March 20, 2006

Edward W. Grolz  
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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicants:** Mehmet Inan, et al.

**Examiner:** Unassigned

**Serial No.:** 10/743,163

**Art Unit:** 1652

**Filed:** December 22, 2003

**Docket:** 15948Z

**For:** ALCOHOL OXIDASE 1 REGULATORY  
NUCLEOTIDE SEQUENCES FOR  
HETEROLOGOUS GENE EXPRESSION  
IN YEAST

**Dated:** March 20, 2006

**Confirmation No.:** 7785

Mail Stop Amendment  
Commissioner for Patents  
United States Patent and Trademark Office  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with 37 C.F.R §§1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: March 20, 2006

  
Edward W. Grolz

1. Database Geneseq, Accession No. AAN60967, XP-002365842 (1991); and
2. United States Patent No. 4,808,537 dated February 28, 1989 to Stroman et al., XP002365843.

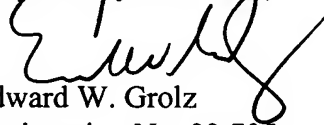
The references were cited in a Supplementary European Search Report dated February 14, 2006 received from the European Patent Office. Applicants are submitting copies of the above-cited references, together with a copy of the Supplementary European Search Report. The relevance of the above-identified references has been described in the Supplementary Search Report.

It is further respectfully submitted that European Publication No. EP 0 510 693, published March 17, 1993, XP02356971; U.S. Patent No. 5,641,661, dated June 24, 1997 and PCT International Publication No. WO 97/17450, published May 15, 1997, which was also cited in the Supplementary Partial Search report, was previously submitted in Applicant's Information Disclosure Statement dated January 19, 2006.


In accordance with the waiver of 37 C.F.R. § 1.98 (a)(2)(i) in effect as of June 30, 2003, applicants are not required to submit a copy of the above-cited U.S. Patent reference.

The undersigned attorney hereby states that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

Respectfully submitted,

  
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Form PTO-1449 U.S. DEPARTMENT OF COMMERCE (REV. 7-80) PATENT AND TRADEMARK OFFICE  <div style="text-align: center;"> <b>LIST OF PRIOR ART CITED BY APPLICANT</b> </div> <div style="text-align: center; margin-top: 20px;">  </div> <div style="text-align: center; margin-top: 10px;"> <i>(Use several sheets if necessary)</i> </div>		<b>Atty. Docket No. (Optional)</b>  15948Z	<b>Application Number</b>  10/743,163
<b>Applicant(s)</b> Mehmet Inan, et al.			
<b>Filing Date</b> December 22, 2003		<b>Group Art Unit</b> 1652	

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL*	1.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (if appropriate)
	1.	4,808,537	2/28/1989				

FOREIGN PATENT DOCUMENTS								
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>		
	2.	Database Geneseq, Accession No. AAN60967, XP-002365842 (1991)

<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
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\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.